SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

3235-OMB Number: 0104

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>KLEMT CHRISTIAN   | 2. Date of<br>Requiring<br>(Month/D<br>08/07/20                | Statement<br>ay/Year) | 3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>uniQure N.V.</u> [ QURE ]   |                                     |   |  |  |
|---|--|-----------------------|---|-------------------------------------|---|--|--|
| (Last) (First) (Middle)<br>C/O UNIQURE N.V.   |  | 517                   | 4. Relationship of Reportin<br>Issuer<br>(Check all applicable)<br>Director       | 10% Owne                            | wner  | 5. If Amendment, Date of Original<br>Filed (Month/Day/Year)<br>08/08/2017  |  |
| PAASHEUVELWEG 25A<br>(Street)<br>AMSTERDAM P7 11058BP<br>(City) (State) (Zip)   | _  |                       | X Officer (give<br>title below)<br>Chief Account                                  | Other (<br>below)<br>ing Officer    | 6.<br>(C                                    | 6. Individual or Joint/Group Filing<br>(Check Applicable Line)<br>X Form filed by One Reporting<br>Person<br>Form filed by More than One<br>Reporting Person |  |
| Table I - Non-Derivative Securities Beneficially Owned  |  |                       |   |                                     |   |  |  |
| 1. Title of Security (Instr. 4)   |  |                       | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)                       |                                     |   | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5)   |  |
| Table II - Derivative Securities Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities) |  |                       |   |                                     |   |  |  |
| 1. Title of Derivative Security (Instr. 4)  | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                       | 3. Title and Amount of Securities<br>Underlying Derivative Security<br>(Instr. 4) |                                     | 4.<br>Conversior<br>or Exercise<br>Price of | 5.<br>Ownership<br>Form:<br>Direct (D)   | 6. Nature of<br>Indirect Beneficial<br>Ownership (Instr. |
|   | Date<br>Exercisable  | Expiration<br>Date    | Title   | Amount<br>or<br>Number<br>of Shares | Derivative<br>Security                      | or Indirect<br>(I) (Instr. 5)  | 5)   |
| Stock Option (Right to Buy)   | (1)  | 09/01/2025            | Ordinary Shares   | 18,000(2)                           | 26.41                                       | D  |  |

**Explanation of Responses:** 

1. The Stock Option vested 25% on September 1, 2016 (the first anniversary of the date of grant), with the remainder vesting 6.25% quarterly thereafter until fully vested, subject to the Reporting Person's continued relationship with the Issuer through such dates.

2. This Amendment is being filed solely to correct a typographical error in the original Form 3, which incorrectly reported that this Stock Option was for 15,000 shares. This Amendment does not restate the entire original Form 3.

## **Remarks:**

/s/ Christian Klemt

03/06/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.